

INVENTORSHIP DECLARATION BY JOINT INVENTORS

I HEREBY DECLARE THAT:

My residence, mailing address, and citizenship are stated next to my name in PART A hereof.

I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

THERAPEUTIC LIPOSOME COMPOSITION AND METHOD OF PREPARATION

the specification of which:

- ☐ is attached hereto.
- ☒ was filed on December 10, 2001 as Application Serial No. 10/016,324.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed in PART B hereof and have also identified in PART B on hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code §119(e) and §120, of any United States application(s) listed in PART C hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application and any national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to Judy M. Mohr at (650) 838-4300. Address all correspondence to:

Perkins Coie LLP  
P.O. Box 2168  
Menlo Park, CA 94026  
Customer No. 22918

**INVENTORSHIP DECLARATION BY JOINT INVENTORS**

**PART A: INVENTOR INFORMATION AND SIGNATURE**

Full name of FIRST inventor: Francis J. Martin

Citizenship: U.S.A. Mailing Address: 160 San Fernando Way

San Francisco, CA 94127

Residence (if different):

Inventor's Signature: Francis J. Martin Date: 4/11/02

Full name of SECOND inventor: Samuel Zalipsky

Citizenship: U.S.A. Mailing Address: 1202 Truman Street

Redwood City, CA 94061

Residence (if different):

Inventor's Signature: Samuel Zalipsky Date: 4/23/02

**PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)**

Country	App. No.	Filing Date
---------	----------	-------------

**PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120**

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
<u>09/876,707</u>	<u>07 June 2001</u>	<input checked="" type="checkbox"/> Pending
<u>09/517,224</u>	<u>02 March 2000</u>	<input checked="" type="checkbox"/> Patented
<u>09/138,480</u>	<u>21 August 1998</u>	<input checked="" type="checkbox"/> Patented
<u>08/949,046</u>	<u>10 October 1997</u>	<input checked="" type="checkbox"/> Patented
<u>60/028,269</u>	<u>11 October 1996</u>	<input checked="" type="checkbox"/> Abandoned



Attorney Docket No. 55325-8148.US06

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patent & Trademark, D.C., 20231, on:

Date:

April 30, 2002

By:

Lynn Anderson

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Martin et al.

SERIAL No.: 10/016,324

FILED: December 10, 2001

FOR: THERAPEUTIC LIPOSOME COMPOSITION AND  
METHOD OF PREPARATION

EXAMINER: unknown

ART UNIT: 1615

**Power of Attorney by Assignee and Certification**  
**Under 37 CFR §3.73(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, the undersigned, acting on behalf of the Assignee of

☐ an undivided share of the entire right, title, and  
interest

☒ the entire right, title and interest

in the above-identified patent application, appoint the attorneys and agents listed below to prosecute this application and transact all business with the U.S. Patent and Trademark Office in connection therewith. This appointment is to the exclusion of the inventor(s) and their attorney(s) and agent(s) in accordance with the provisions of 37 CFR 3.71.

All prior powers of attorney for this application are hereby revoked. The appointed representatives are:

Peter J. Dehlinger, Registration No. 28,006  
Judy M. Mohr, Registration No. 38,563  
LeeAnn Gorthey, Registration No. 37,337  
Larry W. Thrower, Registration No. 47,994  
Jacqueline F. Mahoney, Registration No. 48,390  
Barbara Courtney, Registration No. 42,442  
Richard Gregory, Jr., Registration No. 42,607  
Michael Martensen, Registration No. 46,901  
Chihang Amy Ng Smith, Registration No. 42,931  
Karl Sun, Registration No. 45,143  
Karen Y. Hui, Registration No. 44,785  
all affiliated with Perkins Coie LLP.

Direct all telephone calls to Jacqueline F. Mahoney at  
(650) 838-4300. Address all correspondence to:

**Perkins Coie LLP**  
**P.O. Box 2168**  
**Palo Alto, CA 94026**  
**Telephone: (650) 838-4300**  
**Customer No. 22918**

In accordance with 37 CFR 3.73(b), I hereby certify that I  
am empowered to act on behalf of the Assignee. To the best of  
my knowledge and belief, title is in the Assignee.

I further declare that these statements were made with the  
knowledge that willful false statements and the like so made are  
punishable by fine or imprisonment, or both, under Title 18, USC  
§1001 and that such willful false statements may jeopardize the  
validity of the this application or any patent resulting  
therefrom.

**ASSIGNEE:** Alza Corporation

Signature: Paul B. Simboli

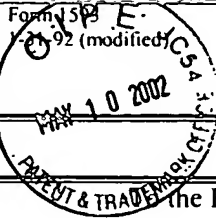
Typed Name: PAUL B. SIMBOLI

Title: PATENT ATTORNEY

Date: 18 APRIL 2002

Address: ALZA Corporation

1900 Charleston Road - Bldg. M10-3  
Mountain View, CA 94039



## RECORDATION FORM COVER SHEET

U.S. Department of Commerce  
Patent and Trademark Office

## PATENTS ONLY

Attorney Docket No. 55325-8148.US06

The Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):  
Francis J. Martin  
Samuel Zalipsky  
Add'l names of conveying parties attached?  
☐ Yes ☒ No

2. Name/address of receiving party(ies):  
  
Alza Corporation  
1900 Charleston Road  
Mountain View, CA 94039

3. Nature of conveyance: ☒ Assignment  
☐ Merger ☐ Security Agreement ☐ Other  
☐ Change of Name ☐ Reassignment

4. Date(s) of execution: 4/11/02 and 4/23/02  
respectively

Add'l names of receiving parties  
attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):  
If this document is being filed with a new application, the date of signature by the first named inventor was: \_\_\_\_\_

A. Patent Application No.(s)  
  
10/016,324 filed 12/10/01

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom  
correspondence concerning document should be  
mailed:

Perkins Coie LLP  
P.O. Box 2168  
Menlo Park, CA 94026  
(650) 838-4410

7. Total No. of applications and patents  
involved: one (1)

8. Total fee (37 CFR §3.41): \$80.00  
☒ Please charge Deposit Account No. 50-  
0665 for fee due.

9. Total number of pages, including cover  
sheet, attachments and document: 5

DO NOT USE THIS SPACE

10. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.*

Jacqueline F. Mahoney  
Name of Person Signing

Jacqueline F. Mahoney  
Signature

April 30, 2002  
Date